Rev. 01/2026

FACTS	WHAT DOES Nelson Financial Planning / Nelson Advisory Services, Inc. DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: ■ Social Security number and Driver's License & Date of Birth ■ Account Statements and Account Transactions & History and New Client Card ■ Income Tax Returns and Checking Account Information When you are *no longer* our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons NFP / NAS chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does NFP / NAS share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We Don't Share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We Don't Share
For nonaffiliates to market to you	No	We Don't Share

Questions?	Call 407-629-6477 or go to www.nelsonfinancialplanning.com

Custodial Information

Nelson Financial Planning (NFP), offers investment advisory services through Nelson Advisory Services, Inc. (NAS), a Florida corporation that is registered with the State of Florida as a Registered Investment Advisor. NAS requires that our clients use Charles Schwab & Co., Inc. (Schwab), a registered broker-dealer and member of the Securities Investor Protection Corporation (SIPC) and the Financial Industry Regulatory Authority (FINRA), as the qualified custodian. NAS is independently owned and operated and is not affiliated with Schwab. Accordingly, Clients should ascertain the policies and procedures of Schwab relating to privacy and sharing of your information. Such information can be found at www.schwab.com. Additional information about SIPC, including the SIPC brochure, is available by contacting SIPC at www.sipc.org or calling (202) 371-8300. Additional information about FINRA membership is available by contacting FINRA at www.finra.org or calling (301) 590-6500.

Who we are

Who is providing this notice?	Nelson Financial Planning (NFP) and Nelson Advisory Services, Inc (NAS).

What we do

How does NFP/NAS protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Additional measures include procedural, electronic and physical safeguards for the protection of your personal information.
How does NFP/NAS collect my personal information?	We collect your personal information, for example, when you ■ Sign Applications or Customer Account Forms ■ Sign New Client Cards or Perform Account Transactions ■ Provide Identification Documentation
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ Nelson Financial Planning also offers brokerage services through Nelson Ivest Brokerage Services, Inc., a member of FINRA/MSRB/SIPC.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ *NFP & NAS have no non-affiliates.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ *No such agreements exist. However, NAS requires that clients use Schwab as their qualified custodian.*

Other important information

Our website can be found at www.NelsonFinancialPlanning.com.